

IC ANNUAL FOIA REPORT FY 2018

Please complete the following worksheet and return it to the NIH FOIA Office by 2:00pm, Thursday, October 18, 2018. NO EXTENSIONS WILL BE GRANTED

PART I - FOIA Contact Information

IC: National Institute of Mental Health (NIMH)

Name of Person Completing the Report: Lisa Alberts

Title: Lead Government Information Specialist (FOIA Coordinator)

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PART II – FOIA Request Data

a. Number of FOIA requests pending at the end of FY 2017: 5

(NOTE: Matic was rec'd on 9/29/17 so it was pending at the end of FY17; I was on leave when it arrived; my backup failed to log it in until 10/6/18).

b. Number of FOIA requests received during FY 2018: 49

c. Number of FOIA requests processed during FY 2018: 49

d. Number of FOIA requests pending at the end of FY 2018: 5

NOTE: $a + b - c = d$

PART III - Cost Information

A. Personnel

1. How many full-time FOIA staff does your IC have: 0

NOTE: A “full-time FOIA employee” is a full-time employee or contractor who performs FOIA duties 100% of the time.

2. How many “Equivalent Full-Time FOIA Employees” work in your IC. **Provide this number in work years:** .70

NOTE: Employees or contractors performing less than full-time FOIA duties are either a) part-time employees who perform FOIA duties all, or part, of the time, or b) full-time employees who perform FOIA duties less than 100% of the time.

To determine the number in work years, ask everyone who does FOIA on a part-time or occasional basis to estimate the amount of time - in percents - they spend on FOIA related duties. Each 100% equals one full-time equivalent. You should include contractors if they are working on some aspect of FOIA.

Example 1:

Employee 1 – performs FOIA duties 20% of her time
Employee 2 – performs FOIA duties 35% of his time
Employee 3 – performs FOIA duties 75% of his time
Employee 4 – performs FOIA duties 15% of her time

In this example, the FOIA duties of these employees equals 145% of the time ($20 + 35 + 75 + 15 = 145$) and **this IC would report 1.45 in this section** ($145/100 = 1.45$)

Example 2:

Employees 1, 2, 3 and 4 – perform FOIA duties 50% of their time
Employee 5 – performs FOIA duties 75% of his time
Employee 6 – performs FOIA duties 10% of her time

In this example the FOIA duties of these employees equals 285 % ($50 \times 4 + 75 + 10 =$) and **this IC would report 2.85 in this section** ($285/100 = 2.85$).

3. Total number of “Full-Time FOIA Staff” .70 ($1 + 2 = 3$).

B. Costs

1. Processing Costs - Cost to your IC for FOIA processing during FY **2018:**

150,000

NOTE: Add together all costs expended by your IC for processing FOIA requests at both the initial request and the administrative appeal level. **Include salaries of FOIA personnel, overhead and any other FOIA-related expenses.** Salary information can be calculated by multiplying the annual salary of the employee by the percentage of time spent on FOIA (necessary to complete Section A). If your IC has a budget for your office, that may be a good source for this information.